

Relationships Between Changes in Bone Mineral Density or Bone Turnover Markers and Vertebral Fracture Incidence in Patients Treated with Bazedoxifene

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Abstract We analyzed the relationships between bone mineral density (BMD) or bone turnover marker (BTM) changes and vertebral fracture incidence in women treated with bazedoxifene using a post hoc analysis from a 3-year randomized, placebo-controlled study evaluating the effect of bazedoxifene (20 or 40 mg) on fracture risk reduction. BMD was assessed at baseline and every 6 months for 3 years. Osteocalcin and C-telopeptide of type I collagen were assessed at baseline and at 3, 12, and 36 months. Vertebral fractures were assessed with a semiquantitative visual assessment. Data were available for 5,244 women, of whom 3,476 were treated with bazedoxifene. Using a logistic regression analysis and the classical Li approach, the proportion of fracture incidence explained by BMD change after 3 years of bazedoxifene treatment was 29 % for the total hip and 44 % for the femoral neck. The proportion of treatment explained by lumbar BMD change could not be quantified accurately because of the significant interaction between treatment and change in BMD. With the same model, the 12-month BTM changes explained up to 29 % of the fracture risk reduction observed with the two forms of bazedoxifene. In women treated with bazedoxifene, changes in femoral neck BMD, hip BMD, or BTMs

explained a moderate proportion of the fracture risk reduction observed during the 3 years of follow-up. However, BMD or BTM changes cannot be recommended for individual monitoring of women treated with bazedoxifene.

Keywords Bone turnover marker · DEXA · Fracture · Bazedoxifene

Osteoporosis is a skeletal disorder characterized by compromised bone strength that predisposes affected persons to an increased risk of fracture. Numerous pharmacological treatments are now available to reduce the risk of fracture in these patients. However, it is well known that the response to treatment may differ between individuals [1]. There is still a need to find monitoring modalities of anti-osteoporotic treatments to predict efficacy [2, 3].

Because fractures occur infrequently, clinicians must rely on surrogates to assess response to therapy. The most widely used surrogate is bone mineral density (BMD). Although low BMD is a strong risk factor for fracture in untreated populations [4–7], the usefulness of serial bone mass measurements during treatment is uncertain [8]. Indeed, among women treated for osteoporosis, the strength of the relationship between changes in bone mass and subsequent fractures varies considerably [9–20], suggesting that other factors may be important or that techniques for assessing changes in bone mass lack the precision required to quantify this relationship accurately. Other potential surrogates of fracture are bone turnover markers (BTMs). A number of studies have reported the ability of BTMs to predict the fracture risk in an untreated patient population [21]. However, as for BMD, clinical studies have shown a high diversity of BTMs to predict the

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response of an individual to an antiosteoporosis pharmacological intervention [22–30].

Bazedoxifene (BZA) is a novel selective estrogen receptor modulator (SERM) that has shown tissue-selective activities to confer favorable effects on bone and lipid metabolism without adversely affecting uterine or breast tissue [31, 32]. Preclinical and clinical studies have suggested that BZA could prevent bone loss, decrease bone turnover, and decrease fracture incidence without adverse effects on breast and uterine tissue [33–39].

The objective of this study was to analyze the association between changes in BMD or BTMs and vertebral fracture risk during 3 years of treatment with BZA.

Materials and Methods

Study Design

A post hoc analysis was performed on data from a previous published study [39]. This international, multicenter, double-blind, randomized, placebo- and active-controlled phase 3 trial was conducted at 206 sites in Asia–Pacific countries, Canada, Europe, Latin America, South Africa, and the United States. The design and methodology of this study were fully described in the original study report. Briefly, subjects were randomly assigned to receive BZA 20 or 40 mg, raloxifene 60 mg, or placebo, taken orally once daily. All subjects received daily oral calcium (up to 1,200 mg) and vitamin D (400–800 IU) supplements. Patients were eligible for the study if they were healthy women between the ages of 55 and 85 with at least 2 years since menopause and with osteoporosis, defined as low BMD or radiographically confirmed vertebral fractures. Subjects without prevalent vertebral fracture were required to have lumbar spine or femoral neck BMD T scores between -2.5 and -4.0 (inclusive), whereas subjects with prevalent vertebral fracture (at least one mild vertebral fracture) were required to have lumbar spine and femoral neck BMD T scores not worse than -4.0 . All patients from this study were included in this analysis.

Fracture Assessment

Prevalent and incident vertebral fractures were identified using the semiquantitative methodology, as previously reported [40]. If an incident vertebral fracture was identified by semiquantitative methodology, a quantitative morphometric assessment was used to confirm the fracture, which was defined as a decrease in vertebral height of 20 % or more and 4 mm or more. In cases of disagreement between the two methodologies, a binary semiquantitative

assessment by an independent radiologist was conducted to adjudicate the discordant results.

BMD Assessment

BMD of the lumbar spine and other skeletal sites was measured using DXA at baseline and at 6, 12, 18, and 24 months. Those subjects who consented to participate in the extension of the initial study had DXA at 36 months. Consequently, only part of the study population had BMD at 36 months. All DXA scans and vertebral fracture assessments were evaluated at a central analysis facility (Synarc, San Francisco, CA).

BTM Assessment

From fasting blood sample, osteocalcin (OC) and C-telopeptide of type I collagen (CTX-I) were assessed at baseline and at 3, 12, and 36 months. Blood samples with hemolysis were excluded. BTMs were analyzed at a central analysis facility (Synarc, Lyon, France).

Statistical Analysis

Patients were included in this particular analysis only if they had vertebral X-rays and BMD performed at baseline and after at least one follow-up evaluation, independently of drug compliance. A total of 5,244 patients (from the BZA and placebo group) reached these inclusion criteria. For this particular analysis, we used the changes in BMD observed after 36 months and the changes in BTMs observed after 12 months because they were the most important at these times. The association between changes in BMD and fracture incidence was assessed only in the BZA-treated group through a logistic regression analysis with age, body mass index, number of prevalent vertebral fractures, and baseline BMD or BTMs as covariates. The proportion of treatment effect explained by BMD changes was assessed with a logistic regression model that included, besides the effects for treatment and end points, the fixed effects of age, body mass index, baseline BMD or BTMs, and number of prevalent vertebral osteoporotic fractures at the beginning of the follow-up. The proportion was computed as the ratio of the risk reduction explained by the surrogate alone to the overall risk reduction by treatment and surrogate [41]. We also used a new method to assess the proportion of treatment effect explained by the surrogate, i.e., the structural equation models. In these models, variables can be treated as both independent and dependent variables, and alternative hypotheses regarding the causal relationships between these variables can be tested. Here, we hypothesized that treatment has both direct and indirect effects on the occurrence of new vertebral fractures.

Direct effects are the influences of the treatment unmediated by any other variable in the model. Indirect effects are the effects of the treatment that are mediated by its effects on the biomarker (i.e., BMD or BTMs). The Sobel test was used to determine whether this effect was significantly different from null. Most assumptions of the structure equation models were met (e.g., sample size sufficiently large, independence of observations, no correlation between independent variables), but the assumption of normality was violated. Therefore, we used polychoric correlations and weighted least squares estimates for the parameters. Polychoric correlation is a technique for estimating the correlation between two theorized normally distributed continuous latent variables from two observed ordinal variables. If structural equation models were saturated, they were compared to the corresponding logistic and linear models (SAS procedures; SAS Institute, Cary, NC) and trimmed to make the estimates unique.

Results

Data were available for 5,244 women, of whom 3,476 were treated with BZA. Baseline characteristics of this study population are presented in Table 1. No significant differences were observed between the placebo and BZA groups. After 3 years of follow-up, the incidences of new vertebral fractures in subjects who received BZA 20 mg, BZA 40 mg, or placebo were 2.3, 2.5, and 4.1 %, respectively.

Using logistic regression analysis and the classical Li approach, the proportion of fracture incidence explained by BMD change after 3 years of BZA treatment was 29 % for the total hip and 44 % for the femoral neck (Table 2). The proportion of treatment explained by lumbar BMD changes could not be quantified accurately because of the significant interaction between treatment and changes in BMD. With the same model, the 12-month BTM changes explained 14–18 % of the fracture risk reduction observed with BZA (Table 2). However, in subjects treated with BZA 40 mg, the proportion of fracture incidence explained by BTM changes was higher (i.e., >25 %).

Table 1 Baseline characteristics of the study population

	BZA 20 mg	BZA 40 mg	Placebo
Age	66.5 (6.5)	66.2 (6.8)	66.5 (6.8)
Body mass index	26.6 (3.8)	26.5 (3.9)	26.3 (3.8)
Lumbar spine BMD T score	-2.4 (1.2)	-2.4 (1.2)	-2.4 (1.2)
Femoral neck BMD T score	-1.7 (0.9)	-1.7 (0.9)	-1.8 (0.9)
Patients with prevalent vertebral fracture (%)	56.1	55.9	56.4

Table 2 Proportion of vertebral fracture incidence explained by 3-year BMD change or 12-month BTM, using logistic regression analysis and classical Li approach

	BZA 20 + 40 mg	BZA 20 mg	BZA 40 mg
Lumbar BMD	Not assessable	38 (0, >100)	Not assessable
Femoral neck BMD	44 (0- >100)	44 (19-70)	39 (0- >100)
Total-hip BMD	29 (6-52)	36 (20-55)	24 (0-66)
C-telopeptide of type I collagen	18 (3-41)	20 (4-44)	25 (3-68)
Osteocalcin	14 (0-46)	4 (0-21)	29 (0-85)

Values are percentages (95 % confidence interval)

Table 3 Proportion of vertebral fracture incidence explained by 3-year BMD change or 12-month BTM, using structural equation model

	BZA 20 + 40 mg	BZA 20 mg	BZA 40 mg
Lumbar BMD	5 (3-7)	21 (17-26)	0 (0-4)
Femoral neck BMD	22 (17-26)	10 (8-12)	22 (17-27)
Total-hip BMD	14 (11-16)	27 (10-43)	13 (9-17)
C-telopeptide of type I collagen	16 (8-39)	14 (6-22)	25 (5-45)
Osteocalcin	6 (4-8)	0 (0-2)	19 (16-22)

Values are percentages (95 % confidence interval)

With another statistical method using structural equation models, the proportion of fracture incidence explained by 3-year BMD changes was 29 and 43 % for total hip and femoral neck, respectively (Table 3). Using the same methodology, BTM changes after 12 months explained up to 76 % of fracture incidence, but the proportion of treatment effects explained by BTM was different in the BZA 20- and 40-mg groups (Table 3). Interestingly, BMD changes after 1 year of therapy explained 8–15 % of the fracture incidence observed during 3 years of treatment.

Discussion

We have shown, in the present study, a significant association between the changes in BTMs or BMD and vertebral fracture incidence in patients treated with BZA for 3 years. Applying the methodology recently used for antiresorptive agents [42, 43], we calculated that, during a 3-year treatment with BZA, the changes in BMD or BTM account for up to 44 % of the vertebral fracture risk reduction.

Studies exploring the association between BMD changes and fracture reduction have been mainly conducted

with antiresorptive agents [1–3]. However, they provide contradictory results [12–15, 17]. Among women taking alendronate, Hochberg et al. [16] found that larger increases in total-hip and spine BMD were associated with a lower risk of new vertebral fractures. However, another study using a meta-analytical approach showed that the percentage of the reduction in vertebral fracture risk attributable to increases in spine BMD after alendronate treatment was only 16 % [12]. Moreover, it has recently been shown that women losing BMD at the lumbar spine (0–4 %) while on alendronate still had a reduction in vertebral fracture risk compared to their counterparts in the placebo group [44]. With raloxifene, increases in femoral neck BMD after treatment have been shown to account for only 4 % of the effect on vertebral fracture risk [17]. More recently, increases in lumbar spine and femoral neck BMD have been shown to account for only 18 and 11 %, respectively, of the effect of risedronate on vertebral fracture incidence [43]. However, risedronate-treated patients whose BMD decreased were at a significantly greater risk of sustaining a vertebral fracture than patients whose BMD increased. Meta-analytical approaches pooling different antiresorptive agents produced also conflicting results. It has been shown that trials that reported larger increases in BMD tended to observe greater reductions in vertebral fracture risk [18]. Using a Poisson regression, the authors showed that the model predicts a reduction of 54 % of the fracture risk if the treatments increase the spine BMD by 8 % and that most of the total effects of the treatments was explained by the increase in BMD [18]. It has also been reported, in a meta-analysis, that the risk of nonvertebral fractures decreased in patients whose BMD increased during treatment with antiresorptive agents [15]. Reanalyzing these data, although using the same statistical methods but correcting for discrepancies in the reported BMD and person-year data, suggested that the magnitude of the reduction in fracture risk was not associated with the increase in BMD [14]. Thus, there is limited evidence to support the use of BMD increases during antiresorptive therapy as a reliable indicator of fracture risk reduction [13, 42]. Very few studies have assessed the association between BMD changes and fracture reduction with bone-forming agents. One study showed that the proportion of teriparatide-mediated vertebral fracture risk reduction attributable to a 0.09 g/cm² increase in BMD ranged from 30 to 41 % [11]. Using strontium ranelate, it was shown that BMD changes at the level of the hip, but not the spine, accounted for a substantial (i.e., about 75 %) proportion of fracture risk reduction [9, 10]. Recently, using denosumab, it was shown that the change in total-hip BMD may explain 35–51 % of risk reduction of new or worsening vertebral fractures [20]. Interestingly, the change in total-hip BMD appears to explain about 80 % of the reduction in risk of nonvertebral fracture [20].

Previous studies have assessed the potential role of bone marker changes to monitor response to treatment. Eastell et al. [25] found that, among risedronate-treated women, greater reductions in CTX and NTX were associated with fewer spine and nonspine fractures. Another study found that greater reductions in bone-specific alkaline phosphatase (BALP) with alendronate therapy are associated with fewer hip, nonspine, and vertebral fractures [27]. In women treated with teriparatide, Chen et al. [22] found that the increases in C-terminal propeptide of type I procollagen (PICP) at 1 month and N-terminal propeptide of type I procollagen (PICP) at 3 months were the most sensitive and accurate predictors of the lumbar spine BMD changes. With another SERM, i.e., raloxifene, Reginster et al. [24] determined that a 1-year decrease in PINP, BALP, or OC, but not CTX, was predictive of the 3-year vertebral fracture risk reduction with raloxifene therapy. Another study showed that among raloxifene-treated women greater 1-year reductions in BALP and OC were associated with fewer incident vertebral fractures [26]. Short-term changes in biochemical markers of bone formation (BALP, PICP), but not bone resorption (CTX I and NTX I), were associated with long-term BMD changes, but not with fracture incidence, in women treated with strontium ranelate [30].

In our study, as with other antiresorptive agents, the proportion of treatment effects explained by BTM or BMD changes is not sufficiently large to allow prediction of BZA treatment on fracture risk reduction at the individual patient level. However, since we have found a significant association between changes in BMD or BTM and fracture risk reduction with BZA treatment, it could be of clinical relevance to inform the patient about positive BMD or BTM changes. Indeed, as recently demonstrated, feedback of such results to patients could increase compliance with therapy [45].

In our study, the proportion of fracture incidence explained by lumbar BMD changes was either lower than the BMD at other sites or not assessable because of a significant interaction between treatment and BMD changes. However, the clinical value of the assessment of lumbar BMD in the elderly population is a matter of debate. Indeed, in elderly subjects, the worsening of degenerative conditions of the spine can skew the lumbar spine BMD measurement [46–48].

As expected, BMD or BTM changes do not explain the entire antivertebral fracture efficacy of BZA. The relationship between BMD changes and fracture risk is confounded by other factors that contribute to the etiology of a vertebral fracture. One of these factors is the change in bone microarchitecture induced by BZA that could also contribute to the reduction of fracture that cannot be captured by BMD measurements [49, 50].

These observations of an association between BMD or BTM changes and fracture risk are supported by preclinical studies. Indeed, in animal models, BZA treatment was shown to maintain or increase BMD, preserve normal histological bone quality, and improve bone compressive strength [32].

This study has limitations. First, heterogeneity between the results of BZA 20 and 40 mg was observed. However, all results were analyzed using two statistical models that showed comparable results. It should also be pointed out that the combination of the two dosages of BZA did not improve the ability of BMD or BTMs to explain the fracture incidence reduction observed with BZA. It may be that the absence of substantial differences in the main results (i.e., in BMD, BTMs, and fracture incidence) observed between the two dosages could partly explain this fact [39, 51]. Second, BTMs and BMD were not assessed at every visit in every patient, mainly because of patient discontinuation from the study. However, the number of dropout patients (about 33 %) is not unexpected for a 3-year study on osteoporosis. Third, our analysis was based on measurements of BMD by DXA. It should also be acknowledged that imprecision in the measurement of BMD could affect the association between changes in BMD and reduction in fracture risk, even though BMD was assessed with strict quality control. Fourth, even if the total number of fractures was low, some fractures could have occurred just before BTM assessments; and it is well known that a recent fracture can influence BMT assessments [52, 53]. Lastly, inpatient variability of BTM measurements limits the transposition of these results in daily practice.

In conclusion, BMD and BTM changes account for a moderate proportion of fracture incidence (treatment effect) in women treated with BZA. However, at an individual patient level fracture risk reduction with BZA treatment cannot be reliably estimated from BTM or BMD changes.

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